

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number 09/825,518

Filing Date April 3, 2001

First Named Inventor Fernandez

Group Art Unit 2171

Examiner Name Unassigned

Total Number of Pages in This Submission 9

Attorney Docket Number 03797-00025

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form☐ Fee Attached☒ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/
Incomplete Application☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53☐ Assignment Papers
(for an Application)☒ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a
Provisional Application☐ Power of Attorney, Revocation
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) _____☐ After Allowance Communication to
Group☐ Appeal Communication to Board of
Appeals and Interferences☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s)
(please identify below):Copy of Notice to File Corrected
Application Papers
Return Receipt Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name William J. Klein
BANNER & WITCOFF, LTD.Signature *William J. Klein* (Reg. No. 32,168) for William J. Klein

Date October 18, 2001

EXPRESS MAIL NO. EL 929161998 US

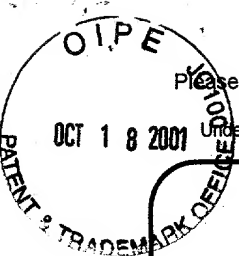
I hereby certify that this correspondence is being deposited with the United States Express Mail Service in an envelope
addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: October 18, 2001

Typed or printed name

Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/825,518		
	Filing Date	April 3, 2001	
	First Named Inventor	Fernandez	
	Group Art Unit	2171	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	9	Attorney Docket Number	03797-00025

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☒ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☒ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

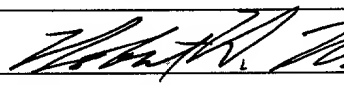
☐ Status Letter

☒ Other Enclosure(s) (please identify below):

Copy of Notice to File Corrected Application Papers
Return Receipt Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	William J. Klein BANNER & WITCOFF, LTD.
Signature	 (Reg. No. 32,168) for William J. Klein
Date	October 18, 2001

EXPRESS MAIL NO. EL 929161998 US

I hereby certify that this correspondence is being deposited with the United States Express Mail Service in an envelope

addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

October 18, 2001

Typed or printed name

Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/825,518	04/03/2001	Roland L. Fernandez	03797.00025

CONFIRMATION NO. 9759

FORMALITIES LETTER



OC00000006089921

28319
BANNER & WITCOFF LTD.,
ATTORNEYS FOR MICROSOFT
1001 G STREET, N.W.
ELEVENTH STREET
WASHINGTON, DC 20001-4597

Date Mailed: 05/18/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

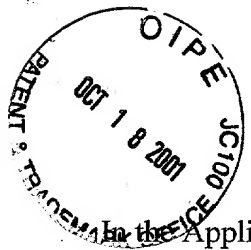
- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Thaine
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Attorney Docket No. 03797.00025)

In the Application of Roland L. Fernandez)

Application No. 09/825,518)

Filed: April 3, 2001)

For: EXTENSIBLE SCHEMA FOR DEFINING)
THE VISUAL APPEARANCE OF)
COMPUTER SYSTEM COMPONENTS)

Examiner: Unassigned

Group Art Unit: 2171

RESPONSE TO NOTICE TO FILE CORRECTED PAPERS

Assistant Commissioner for Patents
Washington, DC 20231

In response to the Notice to File Corrected Papers – Filing Date Granted, mailed May 18, 2001, enclosed are four (4) sheets of drawings correcting the margins.

Pursuant to the provisions of 37 CFR §1.136(a), applicants hereby request a two-month extension of time to extend the period for filing a response in the above-identified application.

The Commissioner is authorized to debit or charge Deposit Account 19-0733 for any fees applicable to this Response to Notice to File Corrected Papers. A duplicate of this sheet is enclosed.

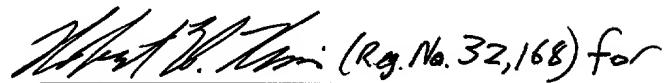
10/24/2001 BABRAHAI 00000023 190733 09825518

01 FC: 116 400.00 CH

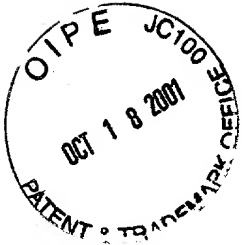
Respectfully submitted,

BANNER & WITCOFF, LTD

Dated: October 18, 2001

 (Reg. No. 32,168) for
William J. Klein
Registration No. 43,719

BANNER & WITCOFF, LTD.
10 South Wacker Drive
Suite 3000
Chicago, Illinois 60606
Telephone: 312-715-1000
Facsimile: 312-715-1234



**CERTIFICATE OF EXPRESS MAIL
(PATENT)**

Express Mail No. EL929161998US
Deposited October 18, 2001

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under §37 CFR 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington DC 20231.

By: Tony Harris

Patent Application of Roland L. Fernandez

Title: EXTENSIBLE SCHEMA FOR DEFINING THE VISUAL APPEARANCE OF COMPUTER SYSTEM COMPONENTS

- Transmittal (1 page)
- Response (1 page)
- Four (4) Sheets of Corrected Drawings
- Copy of Notice to File Corrected Application Papers
- Return Receipt Postcard

Attorney Docket No. 03797.00025